



2018 Rules & Regulations

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I Administration

TRUSTEES

Tom Barrack, Glen Holden Jr.,
Chuck Lande, Pat Nesbitt,
Geoff Palmer, Pat Ringer,
Wesley Ru, Michael Sheller,
Daniel Walker, Henry Walker

TRUSTEES OF DISTINCTION

Ambassador Glen Holden,
Dr. Norman Ringer, Kenneth Walker

BOARD OF DIRECTORS & OFFICERS

The Santa Barbara Polo & Racquet Club is operated by the Santa Barbara Polo & Racquet Club Management Company, Inc. This 501c7, Private Membership corporation, managed by seven (7) Directors: (5) Polo Members and (2) Tennis members. The Board consists of a President, Vice President, Treasurer, Secretary, and 7 Directors. The Directors serve a term, whereby the officers serve only one year and are elected at the annual Meeting held in the fall.

STAFF

The management of the Santa Barbara Polo & Racquet Club Management Company, Inc consists of five departmental directors that report to the General Manager. The departments are Polo Clubhouse, Field Maintenance, Polo Operations and Stabling, Tennis, Swim & Fitness and Corporate/Administration.

INFORMATION

Main Office	805-684-6683
Polo Office & Polo Hotline	805-684-5819
Polo Clubhouse	805-576-7316
Tennis Clubhouse	805-684-6683

II Foreword

This booklet contains the rules and regulations to be observed by all members of the Santa Barbara Polo & Racquet Club and their guests. It also lists the various categories of membership together with the rules and regulations that apply to each category.

It is the intent of management to provide these rules and regulations for the mutual enjoyment of the Santa Barbara Polo & Racquet Club by all its members and their guests. The obligation for enforcing these rules and regulations is placed primarily in the hands of management whose principal responsibility is to assure the membership of all courtesies, comforts, and services to which a member of a private club is entitled.

It is the duty of the membership of the Club to know its rules and to cooperate with the staff in their enforcement thereof. These rules and regulations are subject to change at any time by the Board of Directors of the Club. They do not create any property or other rights beyond these expressly granted.

Board of Directors
Santa Barbara Polo & Racquet Club Management Company, Inc.

3375 Foothill Road #1200
Carpinteria, CA 93013
(805) 684-6683

III Membership Information

A General Membership Information

- a. All memberships in the Santa Barbara Polo & Racquet Club are mere licenses which shall permit their holders the privilege to use and enjoy the facilities of the Club for recreation of an athletic nature in accordance with Club Policies, these Rules and Regulations and the Bylaws of the Club. Memberships in the Club confer no right whatsoever to any participation in the management or control of finances, assets, or activities of the Club and such memberships have no proprietary rights, title or interest in or to any of the assets or income of the Club.

- b. Types of memberships:

POLO memberships provide for usage of the polo fields, barn facilities, tennis courts, pool, jacuzzi, fitness center, and clubhouses.

TENNIS memberships provide for usage of the tennis courts, pool, jacuzzi, fitness center and clubhouses.

SWIM memberships provide for usage of the pool, jacuzzi, and clubhouses.

SOCIAL memberships provide for usage of the polo clubhouse.

FAMILY memberships, except for Polo, provide facility usage rights within a membership category for two adults in a committed relationship living in the same household and the possibility of children living at home under the age of twenty-one. Polo Memberships include polo playing rights for only one person.

SINGLE memberships provide facility usage rights within a membership category for a designated individual.

Note: The facility usage rights under a Polo Membership apply only to the designated player. All other Family Members of a Polo Member, except for arena winter season polo members, have the usage rights equal to that of a Tennis Member.

- c. Tennis, swim and social membership types may be switched from one category to another only with the approval of the Club management. Any transfer from Family to Single memberships (or vice versa) must remain in effect for a minimum of six (6) months.
- d. Grandfathered Transferrable Tennis Memberships are transferable to other parties. Transfer must be made in accordance with Club policies, including payment of a transfer fee of twenty five percent (25%) of the prevailing initiation fee for the membership category or \$2500.00, whichever is the lesser of the two amounts.
- e. Club members are required to notify management of any change in relationship status for those with a family membership. Upon dissolution of a committed relationship one party may retain the membership and the Club must be advised of the person so designated.

- f. Notice in writing of intent to resign from the Club must be presented at least thirty (30) days prior to the date the resignation is to take effect. All outstanding charges must be paid at the time of notification.
- g. Box Seating for Polo matches is available to all members on a first come, first serve basis for an additional charge. For Box Holders, the number of seats in their box include the number of complimentary admission tickets, minus the member, including the Pacific Coast Open Final.
- h. Monthly membership dues and charges to members are due the first of each month. A late fee of 1-1/2% per month will be charged on balances that are 30 days past due. Members with late payment history may be required to leave deposits on account for the season. Amount of the deposit is determined by the Board of Directors and Management.

Rights, privileges, restrictions, rules and regulations relating to memberships and to each class of membership may be added, changed, modified, amended or repealed by the Board of Directors of the Club from time to time.

Patrons are responsible for their pros, their guests, and are responsible for those pro's & guest's actions including but not limited to the payment of their bill by the end of the tournament season, as well as their behavior on and off the field. Misconduct of actions will be taken as a case by case basis.

B Polo Memberships

1. *Condominium Polo Members*

- a. Condominium Polo Memberships are no longer issued. Those who were previously issued this membership type and still retain an active membership status, are still honored.
- b. Each Condominium Polo Membership is registered with the Club under the name of the property owner listed on the last recorded deed to the property.
- c. Each of the condominiums with a Polo Membership is guaranteed one and only one playing slot annually. The person who plays in that slot is called the "Condominium Playing Member." The Condominium Playing Member must be designated by the owner in writing by January 31 for the year. Once designated, no substitutions (except due to injury) may be made for the rest of the calendar year.
- d. A Condominium Playing Member must hold an interest in a condominium in the Las Canchas project that is:
 - 1) A fifty (50) percent or greater fee simple interest as shown on a recorded document or title; or

- 2) If title is held by a corporation, joint venture, partnership, or other form of association, a Condominium Playing Member must hold at least fifty (50) percent of the voting rights of the association; or
 - 3) If title is held by a trustee in trust, a Condominium Playing Member must be a beneficiary of the trust entitled to receive currently at least one-half of the income from the trust estate; or
 - 4) The Condominium Playing Member must be the spouse, son, daughter, brother, sister, son-in-law, daughter-in-law, father, mother, brother-in-law, sister-in-law, father-in-law, mother-in-law, or grandchild of a person who holds an interest as enumerated in paragraphs 1), 2) or 3) above.
- e. An owner of a Condominium Polo Membership may transfer the membership at any time to a Lifetime Polo Membership without paying a transfer fee. This transfer will only be allowed one time and must be transferred into the name of the Condominium Polo Member or the name of a family member as outlined in paragraph e., 4) herein above.
 - f. When a Condominium Polo Membership is involved in dissolution of marriage, the membership will be held as provided by the written agreement of the spouses or by court order filed with the Club Manager.
 - g. A Condominium Polo Membership is a family membership. Members of the family of the Condominium Playing Member will have facility usage rights comparable to Tennis Members.
 - h. The Condominium Polo Member may declare the membership "inactive" for the year. Upon payment of the inactive fee, the Condominium Polo Member and his family retain facility usage rights comparable to a Tennis Member for the year. Once a Condominium Polo Member becomes inactive, he or she is unable to activate the polo playing privileges until the following year, unless allowed in writing by the Board of Directors and upon payment of the balance of the annual dues.
 - i. The Condominium Polo Membership is attached to a particular condominium unit and may not be sold separately from the unit. A Condominium Polo Member may sell the condominium together with the membership to another party at anytime. Prior to transfer of the membership, however, the Club membership committee must approve the new member and a transfer fee must be paid. The transfer fee will be \$5000.00. No transfer fee applies if the transfer is made to another family member (as defined in paragraph 1.e 4 herein above.)
 - j. If approved, the new Condominium Polo Member succeeds to all membership rights and privileges on the date that the deed to the new owner is recorded. If the new owner has not been approved and/or the transfer fee has not been paid by the date of recording, the membership lapses and is automatically canceled.
 - k. A Condominium Polo Member may move his or her membership to another unit if the owner purchases a different unit within the Las Canchas complex. There is no fee for this transfer.

- l. Each Condominium Polo Member must provide the Club with records pertaining to condominium ownership upon request.
- m. Each active Condominium Polo Member is not required to rent a minimum number of stalls each year. Those rented are for the members use only and cannot be sublet.
- n. All playing fees, minimum stabling fees, and annual tackrooms, hay spaces, and extra stalls/corrals must be paid in full by the deadlines established by Club management. Failure to make these payments in accordance with the deadlines may result in the suspension of the Polo Condominium Membership.

2. *Lifetime Polo Membership*

- a. Annual playing privileges are guaranteed to owners of Lifetime Polo Memberships. Lifetime Polo Members are guaranteed team entry to all tournaments held at the Club as long as the Member meets the entry deadline.
- b. A limited number of Lifetime Polo Memberships have been issued by the Club and any additional memberships may be authorized only by the action of the Board of Directors. The payment of an initiation fee is required to purchase a Lifetime Polo Membership.
- c. A prospective Lifetime Member must submit an application and be approved by the Membership Committee and the Board of Directors.
- d. Each Lifetime Polo Membership will be registered to only one individual. A Lifetime Polo Member may transfer the membership at any time into the name of a family member as outlined in paragraph 1e, 4. The terms of a Lifetime Polo Membership shall be the life of the member originally purchasing the Lifetime Membership, or a maximum of twenty-five (25) years. There is no limit to the number of transfers within the immediate family. Prior to the transfer, however, the new member must be approved by the membership committee and a transfer fee of \$5,000 paid. Members of the family of the Lifetime Polo Member will have facility usage rights comparable to Tennis Members.
- e. The Lifetime Polo Member may declare the membership "inactive" for the year. Upon payment of the inactive fee, the Lifetime Polo Member and his family retain facility usage rights comparable to a Tennis Member for the year. Once a Lifetime Polo Member becomes inactive, he or she is unable to activate the polo playing privileges until the following year, unless allowed in writing by the Board of Directors and upon the payment of the balance of the annual dues.
- f. Each active Lifetime Polo Member is not required to rent a minimum number of stalls each year. Those rented are for the members use only and cannot be sublet.
- h. All playing fees, stabling fees, and annual tackrooms, hay spaces, and extra stalls/corrals must be paid in full by the deadlines established by Club management. Failure to make these payments in accordance with the deadlines may result in the suspension of the Lifetime Polo Membership.

3. *Annual Tournament Slot*

- a. In addition to Polo Condominium Memberships and Lifetime Polo Memberships, the Club issues Annual Tournament Slots which are intended to assist the members in organizing tournament teams throughout the year.
- b. Tournament Slots are available to Condominium Playing Members and Lifetime Polo Members only.
- c. A fee is required for the Annual Tournament Slot and must be paid by the Condominium Playing Member or the Lifetime Polo Member by the required deadline.
- d. The number of Annual Tournament Slots may be limited each year by Club management. Should this occur, they would be distributed to Condominium Playing Members, then to Lifetime Polo Members, by seniority based upon the date of membership purchase.
- e. Each Annual Tournament Slot is assigned to a specific Condominium Playing Member or Lifetime Polo Member and may not be used by or transferred to another member except in the case of injury. It may, however, be rotated amongst members of the same Condominium Playing Member's or Lifetime Polo Member's family.
- f. The Annual Tournament Slot creates an open roster position which Condominium Playing Member or Lifetime Polo Member may activate whenever he or she enters a qualified Club tournament. A Condominium Playing Member or Lifetime Polo Member may rotate players of his or her choosing onto the Annual Tournament Slot subject to management approval.
- g. The Annual Tournament Slot may be activated for tournament play only. The Annual Tournament Slot is automatically deactivated if the Condominium Playing Member or Lifetime Polo Member is not participating in a qualified Club tournament.
- h. The player and his/her family, assigned to an Annual Tournament Slot, is allowed facility usage rights comparable to the Lifetime Polo Member and Family while the slot is activated. Once deactivated, the player loses all access to the Club facilities.
- i. The player activated on an Annual Tournament Slot must always be on the same tournament team as the Condominium Playing Member or Lifetime Polo Member to whom the slot is assigned.
- j. The Condominium Playing Member or Lifetime Polo Member is responsible for the conduct of any player using his or her Annual Tournament Slot including any unpaid bills owed to the Club by the player.

4. *Outside Polo Memberships (Annual/ Seasonal)*

- a. Upon determining the number of active Polo Condominium or Lifetime Polo Members and Annual Tournament Slots issued each year, the Club may choose to add Outside Polo Members to supplement its roster.
- b. All Outside Polo Members are selected solely at the discretion of Club management. An Outside Polo Membership will be registered to only one individual, is non-transferable and is a family membership.
- c. Outside Polo Members have priority over seasonal team entries to all tournaments held at the Club as long as the Member meets the entry deadline. The Condo and Lifetime Polo Member has priority over the Outside Annual Member.
- d. While on the playing roster, the Outside Polo Member and his/her family have access rights to the Club facilities comparable to the rights of a Lifetime Polo Member and family.
- e. An Outside Polo Member who is also a sponsor or employer of another player, whether he pays that player's dues or not, is responsible for the conduct of the player, including any unpaid bills or fines owed to the Club by that player.
- f. All playing fees, stabling fees, and annual tackrooms, hay spaces, and extra stalls/corrals must be paid in full by the deadlines established by Club management.
- g. Seasonal Polo Memberships do not qualify for annual rates relating to tackrooms, hay spaces, and extra stalls/corrals.

6. *Youth Polo Membership*

- a. The Youth Polo Membership is to encourage the development of young American polo players. The Youth Polo Membership is not a family membership. The Board of the Santa Barbara Polo & Racquet Club will determine the number of playing slots that will be made available to Youth Polo Members at the beginning of each year. An individual may qualify as a Youth Polo Member if he/she meet the following criteria:
 - be under 22 years of age,
 - be enrolled in school, enrolled to attend school or recently graduated from school, be a Registered Member of the USPA with a current handicap, and
 - be considered an amateur player, that is not receiving any monetary compensation or financial assistance in order to play, including payment of the fee of the Youth Polo Member.
- b. Family relationship and the Regular Member's priority will be used as additional criteria when accepting Youth Polo Members. Priority ranking will follow this order: Condo Polo; Lifetime Polo; and Annual Polo. For those Youth Polo Members who have other family members playing at the SBPRC, the membership fee will be one-half (1/2) of the annual Youth Member Polo fee.

- c. The Youth Polo Member does not have full membership rights. The Polo Manager will schedule the youth member in practice games on a "space available" basis. Regular members have priority in the assignment of playing slots. The Youth Polo Member may play in tournaments based on USPA rules.
- d. The Polo Manager shall have sole discretion in making the determination that a Youth Polo Member applicant is receiving monetary compensation or financial assistance and denying that Youth Polo Member application.

7. Stick & Ball Membership

- a. Upon determining the number of Polo Player Members, the Polo Manager may choose to add Stick & Ball memberships. These memberships are not family memberships.
- b. Uses of club facilities are not extended to Stick & Ball Members.
- c. Stick & Ball privileges are provided on a month to month basis. The monthly fee must be paid in advance. An annual stick & ball membership is selected, upon submission and acceptance of the member application of stick & ball fees, solely at the discretion of the Club Management and/or Polo Manager.
- d. Stick & Ball practice is restricted to the Stick & Ball field only.

8. Pro Pool, 8 Goal Membership

- a. Uses of polo clubhouse is extended to the Pro Pool, 8 Goal Membership.
- b. Pro Pool, 8 Goal Membership privileges are provided on a month to month basis. The monthly fee must be paid in advance.
- c. Once payment is received, the stabling for the Pro Pool, 8 Goal Membership is based on a first come, first serve.

9. Lesson Membership

After 10 lessons have been utilized by a Lesson Member, then a \$250 initiation fee is required, to continue lessons at the Polo Academy.

10. Coaching League Membership

Monthly Coaching League membership fee of \$1000/ month (subject to change at Polo Manager discretion) entitles member to play in Saturday and Sunday morning Coaching League (2 chukkers each day)

Coaching League membership availability is at manager's discretion, players must meet minimum requirements for safety and players who are more advanced will not be allowed to play in Coaching League other than as invited players to fill out rosters.

C Tennis Memberships

1. *Regular Tennis Membership*

- a. All Regular Tennis Memberships are selected solely at the discretion of the Membership Committee and Club management. Regular Tennis Memberships are offered as a single or family membership.
- b. As a Regular Tennis Member, the individual or his/her family, if a family membership has been acquired, attains full access rights to the tennis, swim and fitness facilities, as well as social privileges to the polo facilities.
- c. A Regular Tennis Membership can be purchased from the Club upon application and acceptance by the Membership Committee and the Board of Directors. An initiation fee is required upon acceptance for a (transferable) Tennis Membership.
- d. A Grandfathered Transferable Tennis Member may sell the membership to a new member, but the new member must be approved by the Membership Committee and a transfer fee must be paid. The transfer fee will be twenty five percent (25%) of the prevailing Club initiation fee for a Regular Tennis Membership. These memberships are no longer being sold, but will be honored in perpetuity.
- e. A Regular Tennis Member may not sell the membership. The initiation fee is relinquished upon acceptance of membership and is non-refundable.

2. *Corporate Tennis Membership*

- a. A Company or corporation may purchase a Corporate Tennis Membership for the use of their employees for one initiation fee. Membership application and approval procedures are the same as the Regular Tennis Membership.

3. *Condominium Tennis Membership*

- a. Condominium Tennis Memberships are no longer issued. Those who were previously issued this membership type and still retain an active membership status, are still honored.
- b. Each Condominium Tennis Membership is registered with the Club under the same name of the property owner listed on the last recorded Grant Deed to the property. The Condominium Tennis Membership may be assigned to a family member, if approved by the Membership Committee and the Club management.

- b. A Condominium Tennis Membership may be designated as a single or family membership. For the purpose of these rules, a "family membership" applies to two adults living in the same household and children under the age of 21 that are living at the Las Canchas project.
- c. When a Condominium Tennis Member is involved in dissolution of marriage, the membership will be held as provided by the written agreement of the spouses or by court order filed with the Club manager.
- d. The Condominium Tennis Membership is attached to a particular condominium unit, but may be sold separately from the unit or transferred to a Regular Tennis Membership. The transfer fee is waived (if transferred into the same name) for the transfer of a Condominium Tennis Membership to a Regular Tennis Membership, except monthly dues will change according to the type of membership held. A Condominium Tennis Member may sell the condominium together with the membership, however, the new member must be approved by the Membership Committee and a transfer fee must be paid. The transfer fee will be twenty five percent (25%) of the prevailing Club initiation fee for a Condominium Tennis Membership.
- e. A Condominium Tennis Member may move his or her membership to another unit if the owner purchases a different unit within the Las Canchas complex. There is no transfer fee.

4. Non-Resident Tennis Membership

- a. Non-Resident Tennis Memberships are available to those whose primary residence is not of Santa Barbara for 6+ months out of the year. Membership application and approval procedures are the same as the Regular Tennis Membership.

D Swim & Fitness Membership

- a. Upon determining the number of Polo and Tennis Memberships issued, the Club may choose to add outside Swim & Fitness Members to supplement its roster.
- b. All Regular Swim & Fitness Memberships are selected solely at the discretion of the Membership Committee and Club management, with a current capacity of 150 memberships. Swim & Fitness Memberships are offered as a single or family membership.
- b. As a Swim & Fitness Member, the individual (or his/her family, if a family membership has been acquired) attains full access rights to the tennis clubhouse pool, spa and fitness facilities.
- c. A Regular Swim & Fitness Membership can be purchased from the Club upon application and acceptance by the Membership Committee and the Board of Directors. An initiation fee is required upon acceptance for a Swim Membership.
- d. A Swim & Fitness Membership is non-transferable. If a Swim & Fitness Member lets his/her membership fall sixty (60) days past due, the membership may be canceled automatically.
- e. A Swim & Fitness Member has a Social Membership included in their membership. Please refer to both Social Membership and Polo Clubhouse for further details.
- f. As a Swim & Fitness Member, the individual (or his/her family, if a family membership has been acquired) attains free general admission to all Sunday games, including the Pacific Coast Open Finals. A single membership will receive one ticket for each Sunday game and the family membership includes tickets for each Sunday game for the spouse and children under twenty-one (21). It is highly advised members reserve their tickets for the Pacific Coast Open Finals a minimum of 4 weeks prior to the match.

E Social Membership

- a. Upon determining the number of Polo and Tennis Memberships issued each year, the Club may choose to add Social Members to supplement its roster.
- b. All Social Memberships are selected solely at the discretion of the Membership Committee and Club management. Social Memberships are offered as a single, family or corporate membership.
- c. As a Social Member, the individual (or his/her family, if a family membership has been acquired) attains free general admission to all regular Sunday games, including the Pacific Coast Open Final. A single membership will receive one ticket for each Sunday game and the family membership includes tickets for each Sunday game for the husband, wife, and children under the age of twenty-one.
- d. A Social Member has access to member seating, the member polo clubhouse, and all polo social activities. Please refer to the yearly Member Benefits document for full details.

- e. A Social Membership can be purchased from the Club upon application and acceptance by the Membership Committee and the Board of Directors. An annual fee will be due upon acceptance of the membership application by the Membership Committee and the Club Management. The Social Membership is based on a calendar year; January through December.
- f. Please refer to the Polo Clubhouse for further details in regards to Sunday Polo matches.
- g. A Social Membership is non-transferable. If a Social Member lets his/her account fall sixty (60) days past due, the membership may be canceled automatically.

IV RULES

A General Rules

- a. All matters requiring immediate decisions shall be referred to the Club management. The Club management decisions shall be final.
- b. Any complaints, suggestions, or constructive criticism regarding the operation of the Club shall be submitted in writing to the General Manager.
- c. This Club is a safe place for all members, their guests and the Club staff alike. Members are to conduct themselves in a manner, which will not interfere with other members' enjoyment of the Club. Boisterous behavior and the use of obnoxious language are specifically prohibited.
- d. Members will receive statements for dues and monthly charges in the mail. Reimbursement to the Club is required upon receipt of the statement and an account will be considered "past due" if not paid in full by the end of the calendar month. A late charge of 1-1/2% per month will automatically be applied to all past due accounts. Repeated violations could result in suspension or expulsion from the Club.
- e. Anyone under the age of fourteen (14) years shall be considered a minor for purposes of definition within applicable rules and regulations. Minors entering upon Club property must be accompanied by a responsible adult at all times, unless properly qualified in an athletic activity.
- g. Members may bring guests to the facility in accordance with the Club policy pertaining to each membership category. It is the member's responsibility to know the guest policy restrictions for his/her particular membership category and abide by them. Please refer to the Guest Policy later in this booklet.
- h. The Club shall not be responsible for any injury incurred by a member or a member's guest while on the Club grounds.
- i. The Club shall not be responsible for the loss or damage of any property belonging to a member or a member's guest while on the Club grounds.

- i. No petition or subscription may be circulated on Club property without written permission of the General Manager.
- j. For the purpose of these rules, the term “Club grounds” shall include all properties of or managed by the Santa Barbara Polo and Racquet Club.
- k. No person except the General Manager is permitted to make any communication on behalf of the club, unless specifically authorized by the General Manager or the Board of Directors. A person who represents the Club at another club will abide by the rules of conduct of the Host Club and this Club.
- l. We reserve the right to refuse to serve alcoholic beverages to any members or guest of a member that appear noticeably intoxicated. They will receive a verbal warning and if behavior continues will be asked to leave the club premises. If necessary, the Club may request support from police services.
- m. Any violation of the Club rules and regulations or failure to comply with the decisions of the General Manager or Board of Directors may result in:
 - i. a warning;
 - ii. a fine;
 - iii. a suspension;
 - iv. expulsion;or any combination thereof. The type of action taken for a general rules and regulation violation is left to the sole discretion of the General Manager and/or the Board of Directors. Their interpretations and rulings shall be final in accordance with the by-laws of the corporation.
- n. No smoking or vaping is allowed at the following areas: pool or Jacuzzi area, the Fitness Center, nor inside the Tennis Clubhouse, or on the deck just outside the Tennis Clubhouse. Also, it's not permitted inside the Polo Clubhouse or within 50 feet of the Polo Clubhouse.

B Dog Policy

- a. Dogs within the Tennis, Swim & Fitness facility are not allowed. Penalty for dogs in these areas, is a \$100 fine.
- b. Dogs within the stables, must be kept with their owner, and under voice command with safe behavior.
- c. On the polo fields as well as the Polo Clubhouse, dogs must be on leash at all times. If dogs are found off leash, the owner will be fined \$100.00
- d. All dogs are not to disrupt the peace of the Club, including but not limited to noise, behavior and health. The owners must pick-up after their dogs immediately, if not the owner will be fined \$100.00 per incident.

- e. If a dog or any other animal is harming any other animal or human, there will be a \$1,000.00 fine, and will no longer be allowed on property.

C Polo Rules

1. *General Polo Rules*

- a. The Polo Manager & Facilities Manager control all matters related to the operation of the polo facilities. They have full authority to enforce all rules and regulations applicable thereto.
- b. The Club conducts all its polo matches in accordance with the rules and regulations established by the United States Polo Association and the Santa Barbara Polo & Racquet Club. Any player who participates in polo at the Club must be a member in good standing with the United States Polo Association.
- c. It is the responsibility of all polo members and/or players to be familiar with, and adhere to, both the rules and regulations of the United States Polo Association and the rules and regulations of the Club.
- d. All players prior to playing must sign a membership agreement and an indemnification agreement. The membership agreement and indemnification agreement must be signed annually.
- e. All polo members of any category are responsible for the conduct of their employees, including, but not limited to, professional polo players, grooms and trainers, and their animals, while on the Club grounds. For the purpose of these rules, "Club grounds" shall include all of the Santa Barbara Polo and Racquet Club, while respecting the private property of the Las Canchas Homeowners' Association properties.

In the event a sponsored player or professional player in the employ of a member is suspended from play, the Club specifically disavows any responsibility for any cost, direct or otherwise, incurred by the player or the member sponsoring or employing said player.

- f. All polo members of any category must provide Workers Compensation Insurance for any of their employees using the Club facilities.
- g. The illegal use of drugs, abuse of alcohol, or treatment of other players, members or staff, by players or their employees is strictly prohibited. Drug tests must be granted upon request of the Club Management.
- h. White britches must be worn by all players and officials for tournament matches.
- i. The stick-and-ball facility is available for use solely by players on the Club roster. However, monthly stick-and-ball privileges may be arranged for players outside the Club roster by contacting the Polo Manager.

- j. The stick-and-ball area nor the edges of the fields may not be used for "short working" horses. Anyone working horses in this manner will lose access privileges to this area of the Club.
- k. Horses are not allowed to be staged on the playing surfaces during a tournament or practice game. All ponies must be held at the ends of the fields while a game is in progress.
- l. No vehicles other than horse trailers or tack trucks may be parked adjacent to the curb in front of the tie-stalls at the polo fields, except for the veterinarian's or ambulances.
- i. Before unloading horses at the Club stables, proof of vaccination for both influenza and rhinopneumonitis must be shown to the Polo Manager. Horses coming from out of state must also show proof of a negative Coggins test within the last twelve (12) months.
- n. The Stick & Ball area is closed every Monday. No exceptions.

2. *Tournament and Practice Matches*

- a. The opening and closing dates of the polo season, as well as play during the season are subject to weather and field conditions and may be altered at the sole discretion of management.
- b. The Club schedules tournament and practice matches weekly for member participation throughout the course of the polo season.
- c. The Polo Manager will use his/her best effort to schedule practice matches in accordance with field and player availability.
- d. Practice matches may not be scheduled unless at least three players per side are available to participate.
- e. Each player must bring a red, white, and blue jersey to the field for each practice match.
- f. Tournament play takes priority over practice matches if scheduling conflicts arise.
- g. Tournament games will normally be scheduled by draw and players must arrange to be available to play accordingly.
- h. All tournament team entrants must be organized by the players themselves and notification of entry must be made to the Polo Manager, prior to the entry deadline.
- i. A team entry fee may be required for tournament play.
- j. Team jerseys must be worn for all tournament games with position numbers clearly visible.
- k. The schedule for both tournament and practice games will be posted electronically. This will also include umpire and referee assignments. It is the player's responsibility to check the schedules and verify starting times.

- l. Punctuality is essential for all practice and tournament matches. If a player is late, the ball may be thrown in and the game may be started without him or her. A fine may also be imposed at the discretion of the Polo Manager and /or Disciplinary Committee.
- m. Each playing Polo Member is limited to eighteen (18) chukkers of polo per week. This restriction may be waived by the Polo Manager depending upon the number of teams participating in the High Goal and upon player availability.

3. Substitute players

Anytime a substitution is to occur, the Polo Manager must be notified in advance; the Club Management must approve the individual who will be participating as a substitute; and the substitute must sign any and all membership agreements and releases prior to approval or disapproval.

a. Non-injury Related

- i. Should a Playing Polo Member, who is participating in a Club tournament, be unable to attend a tournament game, he or she may substitute a player from outside the Club roster to take his or her place for the game.
- ii. Should a Playing Polo Member, who is participating in a Club tournament, be unable to attend a scheduled practice game, he or she may substitute someone of his or her choosing to play his or her horses.
- iii. No substitutions are allowed for members who are not participating in a Club tournament. The substitute player must sign an indemnification agreement prior to playing.

b. Injury Related

- i. Should a Playing Polo Member, who is participating in a Club tournament, be unable to play due to an injury, a qualified player may be substituted in accordance with the USPA rules. If necessary, the substitute may play in place of the injured player for the balance of the tournaments within the season in which the injury occurs (i.e. spring, summer, or fall) at no additional charge.
- ii. b. If the injured player has played under an Annual Tournament Slot assigned to him or her, the substitute player may use this Annual Tournament Slot for the duration of the season in which the injury occurs (i.e. spring, summer, or fall).
- iii. c. Should a player who is not participating in a Club Tournament become injured, no substitutions can be made.

4. Umpire and Referee Assignments

- a. All players are required to attend umpiring clinics arranged by the Club and follow the rules of the United States Polo Association and those of the Santa Barbara Polo & Racquet Club.
- b. The Tournament/ Disciplinary Committee and/or the Polo Manager will make umpire and referee assignments.
- c. It is the player's responsibility to check to see if he or she is scheduled to referee or umpire.
- d. Punctuality is essential for all officials. A fine may be imposed by the Polo Manager upon any official who is late or absent for an assignment.
- e. Umpires are required to wear club umpire shirts for all practice and tournament games.
- f. The referee or third man is required to sit alone during a tournament game and may not talk with others while the game is in progress.
- g. Umpires have the exclusive authority over the entire game and are charged with responsibly executing their duties.
- h. Umpires will control the conduct of the game without harassment from players and are authorized to set down any player causing a nuisance. When a foul has been called, the Captain of the team is entitled to know the type of foul, the penalty incurred and the number or name of the individual who fouled.
- i. Umpires may send off any horse found to be lame, bleeding, out of control and/or dangerous.
- j. Umpires shall start the game on time—without the full complement of players if need be. Four (4) minutes shall be allowed for the change of ponies between periods, except at half time when ten (10) minutes will be allowed.
- k. It is the Umpires' responsibility to report any unsportsmanlike conduct and the circumstances surrounding it to the Polo Manager as soon as possible. The Polo Manager is to report the incident in detail to the Disciplinary Committee in writing. All complaints presented to the Disciplinary Committee will be in writing.

5. *Player Conduct*

- a. Unsportsmanlike Conduct and profane language on or off the playing field will not be tolerated. Membership rights may be rescinded for any player in violation of this provision.
 - i. The Management and the Tournament or Disciplinary Committee will enforce the rules on sportsmanship and conduct on the playing field. ONLY Captains are permitted to communicate with the umpires during the game. Any arguing, profanity, disrespectful conduct, rough or dangerous plays, refusing to play, or any other lack of sportsmanship will not be tolerated. VIOLATORS WILL BE SERIOUSLY PENALIZED BY FINE, REMOVAL, SUSPENSION, EXPULSION OR A COMBINATION THEREOF. Any fines levied by the Club must be paid prior to further play by the violator. Players ruining the game or diminishing the reputation of the Club will be fined and/or suspended. Any unsportsmanlike conduct occurring off the playing field and while on the Club grounds, as set forth in the General Rules (section IV A General Rules paragraph 11 herein above) of the Santa Barbara Polo & Racquet Club, will be dealt with directly by the Board of Directors.
 - ii. Unsportsmanlike Conduct includes but is not limited to conduct, including actions or words, directed to any official, player, spectator, or other person or mount on Club grounds that is physically or verbally threatening, assaultive or abusive, demeaning, obscene, profane, belligerent, dangerous or that violates standards of fair play or common decency generally accepted in the polo community. It includes Extreme Unsportsmanlike Conduct and any conduct that interferes unreasonably with the conduct of a match.
 - iii. Unsportsmanlike Conduct such as verbal abuse directed at umpires or other players will result in the umpire issuing a penalty based upon the severity and the frequency of the offense.
 - iv. It is recommended that multiple acts of Unsportsmanlike Conduct during a game or season should be dealt with more severely than the fine initially imposed. It is the intent of SBPRC that players at the club act in a sportsmanlike manner for the benefit of the sport of polo, other players on the field, and the club.
 - v. Each player at SBPRC is responsible for conducting himself in an appropriate manner. Additionally, each patron is responsible for the actions of his players on and off the field. A patron should advise his professional players of these R&R's and his/her insistence that the professional players do not commit any acts of Unsportsmanlike Conduct.

In the event that a player has a complaint filed against him/her and is required to appear before the Disciplinary Committee, his patron shall also appear. If it is determined by the Disciplinary Committee that the actions of the player that are the cause of the disciplinary action could have been curtailed or eliminated by the patron during the game, but were not, the Disciplinary Committee may exact a monetary fine against the patron.

b. Extreme Unsportsmanlike Conduct

Extreme Unsportsmanlike Conduct on or off the field such as acts of physical aggression (punching, and/or whips or mallets used to hit another player, and/or an attempt to inflict bodily harm, and/or dangerous riding) as well as using one's horse as a weapon will be dealt with by the Tournament or Disciplinary Committee or the Board of Directors in a manner congruent to the severity of the offense, age and experience of the offender, and with consideration of the frequency of the offense. The Disciplinary Committee will review the offense and issue a punishment suitable for deterrence.

- c. In the event a player is suspended or expelled from the Club, there will be no refunds for playing fees, membership fees or any other amount previously paid.
- d. The Disciplinary Committee as outlined below is responsible for enforcing the rules of the Club during play. They may impose any or all of the above sanctions, except for the loss of membership privileges and expulsion. Only the Board of Directors, after reviewing the recommendations of the Disciplinary Committee, may make such a determination concerning the loss of membership privileges and expulsion.

6. *Disciplinary Committee*

a. Authority of Committee

- i. A Disciplinary Committee will be appointed each year to enforce the Club rules.

b. Formation of Committee

- i. The Disciplinary Committee shall be comprised of three (3) members of the Polo Club. The Board of Directors will endeavor to have one of the three members of the Disciplinary Committee be a sponsor who is not playing in the Tournament or match involved. Each serving member (excluding the alternates) shall have one (1) vote in matters concerning the Disciplinary Committee. The Polo Manager shall act in an advisory capacity and will attend all meetings, but will have no vote unless a vote is required immediately and a member and the alternates are unavailable.
- ii. It is the intention of the Board of Directors that the members of each Disciplinary Committee be disinterested and unbiased in the outcome of the tournaments and matches that it oversees. In that regard, no member of the Disciplinary Committee* shall participate in the investigation, analysis or resolution of any dispute, problem or inquiry, if said member is a player** in the particular tournament or match in question. However, in the event of a shortage of available and qualified non-playing members to act on such a Disciplinary Committee as described above, the Board of Directors may then appoint a Disciplinary Committee, comprised in whole or in part, of members who are playing in said tournament or match.

b. Disciplinary Guidelines

- i. It is the intent of the SBPRC that the Disciplinary Committee exercise broad discretion in fashioning appropriate adjudications under the rules of the USPA and SBPRC. Although it is recognized that each case brought before a Disciplinary Committee is unique, past results from such proceedings have not always been consistent. SBPRC hereby announces its intention that its' Disciplinary Committees are no longer to be guided by disciplinary precedents arising before April 1, 2002.

Therefore, no Disciplinary Committee at SBPRC will accept or consider the introduction of any evidence of any prior disciplinary action taken against any player occurring prior to April 1, 2002, and submitted for the purposes of showing what other players' have received as discipline from prior proceedings.

This refusal to accept evidence of prior disciplinary actions prior to April 1, 2002 does not in any way prohibit a Disciplinary Committee from reviewing prior disciplinary actions taken against the player who is the subject of the disciplinary proceeding.

7. *Barn & Track Rules*

- a. Only players on the Club roster and their employees have access to the stable and track areas.
- b. Each player must register his or her employees who work at the Club with the Polo Manager.
- c. Grooms and stable employees are not members of the Club and, therefore, are not allowed to use the tennis/pool facilities or the polo clubhouse.
- d. Players and/or grooms may not bring guests to ride on the track or the arena without prior approval of the Polo Manager.
- e. No overnight sleeping or residency is allowed at the Club.
- f. Proper care for horses is required. The Club management will take appropriate action if a player or groom is negligent in this regard.
- g. Grooms are responsible for the cleanliness of their stalls, paddocks, hay spaces, toilets and the areas adjacent to these facilities. Fines will be issued to any player whose groom is not properly maintaining these areas. The Playing member may be billed for damages that occur from the use of the stabling facilities, including but not limited to tackroom door/lock, stall doors.
- h. Any grooms or stable employees who do not cooperate with the Club management will be asked to leave the Club.
- i. Hay and shavings may only be kept in areas designated by the Polo Manager. Arrangements must be made for storage of these items prior to delivery.
- j. Stall and paddock locations for each player are assigned by the Polo Manager. Special requests for locations must be arranged with the Polo Manager in advance.

- k. Horses may be kept in assigned areas only and may not be moved or kept in other stalls or paddocks without the approval of the Polo Manager.
- l. Players may not sublet their stalls or paddocks to other players.
- m. No more than one horse per stall or paddock is allowed.
- n. Horses may not be tied to the wash racks at any time. Washing of horses is permitted in designated areas only.
- o. Use of hoses on the facility without spray nozzles is strictly prohibited.
- p. Horses may not be walked on the outer ring of the track. Walking is restricted to the inner ring area.
- q. The number of horses being led on the track by a single rider may be restricted if the Polo Manager feels a safety problem exists.
- r. The upper arena may only be used for the playing of polo as and when designated by the Polo Manager.
- s. The Polo Manager has full authority to prohibit anyone from using the track and/or arena if he feels this person does not possess adequate riding skills.
- t. Horse trailers may not be parked on the roadways in the barn area unless authorized by the Polo Manager specifically for loading and unloading.
- u. Passenger vehicles and trucks are not allowed to be parked within the stable area except in areas designated by the Polo Manager.
- v. No washing of vehicles or vehicle repair is allowed anywhere on the premises.
- w. Proper dress standards must be maintained by players and their employees while in the stable area.
- x. All stalls and paddocks must be cleaned out prior to departure from the facility. Notification of departure dates must be provided to the Polo Manager. If stalls and paddocks are not sufficiently cleaned out to meet the Polo Manager's approval prior to departure, a fee will be assessed.

Arena rules may be obtained from the Polo Manager.

8. *Emergency Care Information*

- a. Facilities & Equipment
 - i. ALL EXITS (GATES) ONTO THE HIGHWAY (excluding front entry gate) during business hours should be closed to keep the horses from accessing public streets.

- ii. THE AMBULANCE TRAILER will be parked at the field with the keys in place. The use of this trailer should be under the supervision and direction of club management or the veterinarian.
- iii. IN THE EVENT AN ANIMAL MUST BE DESTROYED, SBPRC must receive prior notice, and a veterinarian must perform the service.
- iv. All trucks and trailers must exit the facilities to the Via Real exit. Trucks and trailers are prohibited from entering the Foothill or Las Canchas Homeowners entrance.

D Tennis Court & Clubhouse Rules

- a. The SBPRC management and staff including the Tennis Director, Tennis Manager, management team as well as the front desk staff control all matters related to the operation of the tennis facilities. The above listed team members have full authority to enforce all rules and regulations applicable thereto.
- b. Tennis court reservations are accepted, but may not be made more than 48 hours in advance of playing.
- c. Players must notify the Club if a court reservation needs to be canceled.
- d. Players must register at the tennis desk before using the courts.
- e. Players must wear authorized tennis attire including proper tennis shoes (no black soled shoes.) Shirts must be worn at all times. Tank tops, cut-offs, jeans, swimsuits, Bermuda shorts, and similar apparel are not acceptable for court play.
- f. When players are waiting, court usage is limited to one-and-one-half hours. The waiting players may take over any court, when exceeded the allotted time has occurred. Please notify club management to support if needed.
- g. Use of the ball machine is limited to one hour when two or more members are waiting for use of a court.
- h. Minors are limited to the use of one court if adults are waiting to play.
- i. Players are responsible for picking up all their cups, tennis balls, towels, etc., prior to leaving the courts.
- j. Cell phones must be turned off while on the court. Please be courteous to taking calls off the court, to those who are in play.
- k. Proper court etiquette shall be maintained while on the tennis courts. No racquet throwing or loud, boisterous activity is allowed.

- l. No one is allowed on the tennis courts after the clubhouse closes in the evenings. Members that delay closing of the facility after 8:30 p.m. will be subject to a \$50 fine per 30 mins (rounded up).
- m. All towels remain the property of the Club and are not to be removed from the Club. All towels must be deposited in baskets in the baskets provided.
- n. Only member parties sanctioned by the Tennis Director are allowed.

E Pool & Jacuzzi Rules

- a. All members using the pool must register at the Front Desk before entering.
- b. No flotation devices other than kickboards are allowed in the pool. Under no circumstances is the life preserver to be used other than in emergency situations.
- c. Children under fourteen (14) years of age must be accompanied by an adult when using the pool and/or Jacuzzi areas. Please note that no lifeguard is on duty at the pool.
- d. No glassware of any type is permitted in the pool/jacuzzi areas.
- e. No food or beverages are to be consumed while in the pool or Jacuzzi.
- f. Only persons in proper swimming attire shall be permitted in the pool/jacuzzi areas.
- g. It is strictly prohibited to put any pool furniture into the pool.
- h. No running or horseplay is allowed on the deck area surrounding the pool.
- i. The pool lap lanes is restricted for use by those swimming laps. Use for other purposes is prohibited.
- j. The pool lap line should not be grabbed, tugged, sat or stood on, or hung onto by anyone in the pool.
- k. The noise level in the Jacuzzi area must be kept to a minimum due to its close proximity to the tennis courts.
- l. Swimmers must towel off before entering the tennis clubhouse.
- m. The pool and Jacuzzi's hours of operation are from 8:30 a.m. to 8:15 p.m. No one is allowed access to the pool or Jacuzzi after the clubhouse closes in the evenings and before it opens in the morning, nor during days closed due to Mother Nature. Members that violate these rules by swimming before or after hours and/or delay closing of the facility at 8:30 p.m. will be subject to a \$100 fine per incident.
- n. All towels remain as property of the Club and are not to be removed from the premises. All towels must be deposited in baskets provided at the pool or in the restrooms.

F Fitness Center

- a. All members must sign in prior to use.
- b. All guests must register at the front desk, sign a waiver, then sign-in on the clipboard as you enter the Fitness Center.
- c. No Children under 14 allowed in the Fitness Center without supervision.
- d. Please step outside to answer a phone call.
- e. Please wear proper gym attire, including shirt and shoes.
- f. Please relinquish equipment after 20 mins, if another is waiting.
- g. Please do not take indoor equipment, including mats, rollers or balls outside. The outdoor equipment is located in a brown bin under the trees for outdoor usage.
- h. Please wipe down and put away all equipment when finished, including weights, mats...etc.

G Polo Clubhouse Rules

- a. Casual dress in good taste is considered proper attire for the clubhouses.
- b. Attire for special Club functions should be in accordance with the information published for each occasion.
- c. It is the member's responsibility to make sure that all member charge receipts are printed, with your correct name and your signature for items purchased in the clubhouses.
- d. Children under the age of fourteen (14) years are not allowed to charge items in the clubhouses.
- e. Guests of members are not allowed to charge items to the member's account unless prior arrangements are made with the Club management.
- f. All small children must be kept "in hand" or in the lap of the parent while in the clubhouses.
- g. Members of the Club are allowed free admittance to the Sunday polo matches. Box Holders are allowed to have complimentary guest tickets for the number of seats in their box. It is the member's responsibility to make arrangements for guest payment.

- h. No alcoholic beverages may be brought onto the property. All alcohol consumed on property must be purchased through the Club. All members and guests are to be ready to display property identification upon ordering alcoholic beverages. Bartenders have the right to stop serving alcohol, once the member or guest has become intoxicated. The Club will be happy to arrange transportation for a safe return home, cost for transportation is not included in the membership.
- i. The Polo clubhouse facilities may be rented for private parties based on availability. Any caterer other than the Club caterer must be approved in advance of the event.
- j. No outside food is allowed unless approved by management, during Sunday Polo. The Polo Grill is open during these hours, available to support you.
- k. All children must not be allowed to run loose in the grandstands, clubhouse, or adjacent areas. Children are not allowed to play in the lower boxes, horse area, the grass apron between the field and Clubhouse, to swing on the chains nor to sit on the concrete wall.
- l. Hand mallets and footballs, etc. are not allowed in the grandstands. The adjacent field (#1) can be used when a game is not being played.
- m. Reservations for seating is recommended to be made 4 weeks prior to the match. For the Pacific Coast Open Final, a minimum of 6 weeks prior is recommended.

V Guest Policy

A General

- a. Anyone who is not a member of the Club and enters onto the Club grounds is classified as a guest.
- b. A guest may not use any of the Club facilities unless accompanied by a sponsoring member unless prior arrangements are made with the Club management.
- c. All guests must be properly registered with the Club by the sponsoring member prior to facility use.
- d. Any nonmember may utilize guest privileges at the Club no more than twice per calendar month. The two visits per month limit does not enable the guest to come twice with one member and two more times with another member. It does not allow for two visits to play tennis and two visits to swim. The policy strictly limits a guest's use of the Club's facilities to two times per month.
- e. Members' children over the age of twenty-one (21) years are restricted to the guest policy limitations in their use of the Club facilities.
- f. Members are responsible for their guests at all times while on the premises. This relates to their behavior and their use of the facility.

- g. Each Tennis Member is responsible for the conduct of all guests and family members, including any and all expenses they may incur at the tennis or polo clubhouse
- h. Guests must be accompanied by their member at all times.
- i. Guests are not allowed to sign for purchases at the Club on a member's account unless prior arrangements are made with the Club management.
- j. Failure to comply with the guest policies may result in the expulsion of the member from the Club.

B Polo Guests

- a. All guests must be approved by the polo manager prior to play.
- b. Guest chukkers are limited by the polo manager depending upon availability.
- c. All Polo Guests must sign the SBPRC waiver.

C Tennis Guests

- d. There is a \$10 guest fee for use of the tennis courts, fitness center, pool and Jacuzzi
- e. Guests must sign in at the Front Desk and sign a waiver.

D Swim Guests

- a. There is a \$10 guest fee for use of the swimming pool, Jacuzzi and fitness center.
- b. Sponsoring members who bring guests to use the pool or Jacuzzi must register guests with the Club management prior to use of the facility.

E Polo Clubhouse Guests

- a. Each Social Member is responsible for the conduct of all guests and family members, including any and all expenses they may incur at the polo or tennis clubhouse. Social members of the Club are allowed free admittance to the Sunday polo matches. All guests of members, however, are required to pay an admission fee, unless they are being seated in the member's box. It is the responsibility of the member to make admission arrangements for guests.

VI MEMBERSHIP FEES & SCHEDULES

A Membership Fee

Membership Fees can be obtained at the administrative offices. These fees are subject to change based on the Board of Director's approval.

B Polo, Tennis & Social Schedules

These schedules may be obtained at the administrative offices. These schedules are subject to change based on weather conditions. Please call in advance to verify times and dates.